



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Ralph Lipe et al.

Appln. No. : 09/881,518

Filed : June 14, 2001

For : SPEECH-RELATED EVENT  
NOTIFICATION SYSTEM

Docket No.: M61.12-0332

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Group Art Unit: 2601 Technology Center 2600

Examiner: ---

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Commissioner for Patents  
Washington, D.C. 20231

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9th DAY OF October, 2001  
*Joseph R. Still*  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

TIME OF FILING

The information disclosure statement is being filed with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

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Respectfully submitted,

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JRK:slg

FORM PTO-1449	Atty. Docket No.: M651.12-0332	Appl. No.: 09/881,518
<p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> 	First Named Inventor:	<b>RECEIVED</b> <b>OCT 19 2001</b> <b>Technology Center 2600</b>
	Ralph Lipe et al.	
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	June 14, 2001	2641

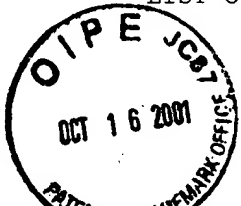
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

1	AA	<b>Isolated-word sentence recognition using probabilistic context-free grammar</b> By: G.J.F. Jones et al. Eurospeech 91, 2nd European Conf. On Speech Comm. and Tech. Proceedings p. 487-9, vol. 2.
-	AB	<b>Context-free grammar driven, frame synchronous HMM-based continuous speech recognition methods using word spotting</b> By: S. Nakagawa et al. Transactions of the Inst. of Electr., Information and Communication Engineers D-II vol. J76D-II, no. 7, p. 1329-36.
1	AC	<b>One-pass continuous speech recognition directed by generalized LR parsing</b> By: K. Kita et al., ICSLP 94. 1994 International Conference on Spoken Language Processing.
1	AD	<b>The ARISTOTLE speech recognition system</b> By: C. Waters et al., Progress in Connectionist-Based Information Systems
1	AE	<b>A context-free grammar compiler for speech understanding systems</b> By: M.K. Brown et al. ICSLP 94. 1994 International Conference on Spoken Language Processing Part. Vol. 1, p. 21-4.
1	AF	<b>Efficient word-graph parsing and search with a stochastic context-free grammar</b> By: C.J. Waters et al., 1997 IEEE Workshop on Automatic Speech Recognition and Understanding Proceedings
1	AG	<b>Dynamic programming parsing for context-free grammar in continuous speech recognition</b> By: H. Ney, IEEE Transactions on Signal Processing, Vol. 39, no. 2, p. 336-40.
1	AH	<b>Using a stochastic context-free grammar as a language model for speech recognition</b> By: D. Jurafsky, et al., 1995 International Conference on Acoustics, Speech, and Signal Processing. Part 1, p. 189-92, Vol. 1.
1	AI	<b>Development of an effective context-free parser for continuous stochastic languages</b> By: L.R. Strydom et al., AFRICON 96'. Incorporating AP-MTT-96 and COMSIG-96.
1	AJ	<b>Reliable utterance segment recognition by integrating a grammar with statistical language constraints</b> By: H. Tsukada et al., Speech Communication Vol. 26, No. 4, p. 299-309.
1	AK	<b>Active middleware services in a decision support system for managing highly available distributed resources</b> By: S.A. Fakhouri et al., International Conf. On Distributed Systems Platforms and Open Distributed Processing. Lecture Notes in Computer Science Vol. 1795, p. 349-71.

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AA	Improving scalability of event-driven distributed objects architectures. By: D. Mencnarowski et al., Poland Journal: Software-Practice and Experience Vol. 30, No. 13, p. 1509-29
AB	Improved spelling recognition using a tree-based fast lexical match. By: C.D. Mitchell et al., 1999 IEEE International Conf. On Acoustics, Speech and Signal Proceedings. Vol. 2, p. 597-600.
AC	Event management components for the 3/sup rd/ generation OSS By: S, Desrochers et al., Proceedings of Network Operations and Management Symposium Conference Date: 10-14 April 2000 Conference Location: Honolulu, HI, USA
AD	A context-dependent similarity measure for strings By: E. Tanaka. Transactions of the Institute of Electronics and Communication Engineers of Japan, Part A, VO.. J67A, No. 6, p. 612-13.
AE	READY: a high performance event notification service By: Gruber, R.E. et al. Proceedings 16th International Conference on Data Engineering Conference Sponsor: IEEE Comput. Soc. Tech. Committee on Data Eng. Conference Date: 29 Feb.-3 March 2000 Conference Location: San Diego, CA, USA
AF	An event notification framework based on Java and CORBA By: Tomono, M. Japan Conference Title: Integrated Network Management VI. Distributed Management for the Networked Millennium
AG	Mobile streams By: Ranganathan, M et al., Proceedings of the Sixth Annual Tcl/Tk Conference p.203-4 Publisher: USENIX Assoc, Berkeley, CA, USA Publication Date: 1998
AH	A flexible and recoverable client/server database event notification system By: Hanson, E.N. et al., VLDB Journal vol.7, no.1 p.12-24, 1998.
AI	Using events to build distributed applications Author(s): Bacon, J. et al., Second International Workshop on Services in Distributed and Networked Environments Conference Sponsor: IEEE Comput. Soc. Tech. Committee on Distributed Process Conference Date: 5-6 June 1995
AJ	High-Performance Alphabet Recognition, IEEE Speech and Audio Processing, By: Philipos C. Loizue et al. Nov. 1996, Vol. 4, No. 6, pp. 430-445.
AK	Speaker-Independent Name Retrieval From Spellings Using a Database of 50,000 Names, By: Ronald A. Cole et al., 1991 International Conference on Acoustics, Speech, and Signal Processing Vol. 5, pp. 325-328.
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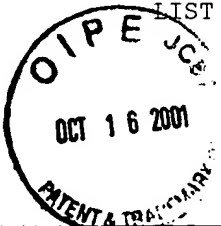
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✓	AA	<b>IBM Voicetype Software</b> , By: R. Fletcher, IEE Colloquium on the Language Toolkit Engineers in Business.
✓	AB	<b>Temporal Decomposition and Acoustic-Phonetic Decoding for the Automatic Recognition of Continuous Speech</b> By: P. Deleglise et al. 9th International Conference on Pattern Recognition. Vol. 11, pp. 839-841.
✓	AC	<b>Improving Recognizer Acceptance Through Robust, Natural Speech Repair</b> By: Arthur E. McNair et al., 1994 International Conference on Spoken Language Processing. Pp. S22-15.1 - S22-15.4
✓	AD	<b>Automated Directory Listing Retrieval System Based on Isolated Word Recognition</b> By: Bernd Aldefeld et al., Proceedings of the IEEE, Vol. 68, No. 11, Nov. 80 pp. 1364-1379.
✓	AE	<b>Empirical evaluation of interactive multimodal error correction</b> , By: Suhm, B., 1997 IEEE Workshop on Automatic Speech Recognition and Understanding Proceedings
✓	AF	<b>Noise robust speech recognition makes in-car navigation safe and affordable</b> By: Smolders, J. et al. 'Steps Forward'. Proceedings of the Second World Congress on Intellectual Transport Systems '95 Yokohama Part vol. 2 p. 601-4 vol. 2.
✓	AG	<b>Building a listener engine: a Smalltalk interface to speech recognition</b> By: LaLonde, W., Pugh, J. Journal: Journal of Object Oriented Programming (JOOP) vol. 10, no. 7 1997-1998
✓	AH	<b>LEAP: Language Enabled Application</b> By: Alabiso, B. ; Kronfeld, A. First International Workshop on Human-Computer Conversation. Draft Proceedings p. 15
✓	AI	<b>Tools for developing voice-operated applications</b> By: Newstadt, R.E., Speech Technology vol. 4, no. 4, p. 62-6.
✓	AJ	<b>Examining Microsoft's Speech SDK</b> By: Davis, P., Dr. Dobb's Journal vol. 24, no. 7 p. 86, 88-90. 1999
✓	AK	<b>Speech processing technology towards practical use</b> By: Shirai, K.; Kobayashi, T.; Kudo, I., Joho Shori vol. 38, no. 11 p. 971-5
✓	AL	<b>Recent improvements on Microsoft's trainable text-to-speech system-Whistler</b> By: Haung, X et al., 1997 IEEE International Conference on Acoustics, Speech and Signal Processing (Cat. No. 97CB36052) Part vol. 2 p. 959-62 vol. 2.
✓	AM	<b>Software text-to-speech</b> By: Hallahan, W.J.; Vitale, A.J., Journal: International Journal of Speech Technology vol. 1, no. 2 p. 121-34
✓	AN	<b>Japanese text-to-speech software (FLUET) based on waveform synthesis method</b> By: Hakoda, K.; Tsukada, H.; Hirokawa, T.; Yoshida, Y.; Mizuno, H.
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✓	AA	DEctalk Software: <b>text-to-speech technology and implementation</b> By: Hallahan, W.I., : Digital Technical Journal vol. 7, no. 4 p.5-19
✓	AB	<b>The InfoPad user interface</b> By: Burstein, A. et al., Digest of Papers. COMPCON '95. Technologies for the Information Superhighway

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